

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 487

Complete if Known

Application Number
Filing Date November 15, 2001
First Named Inventor William K. Summers
Examiner Name
Group Art Unit
Attorney Docket No. 30011-UT

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 13-4213
Deposit Account Name Peacock, Myers & Adams

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the aboveidentified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	370
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 117

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	205	130 65 Surcharge - late filing fee or oath	
127	227	50 25 Surcharge - late provisional filing fee or cover sheet	
139	239	130 130 Non-English specification	
147	247	2,520 2,520 For filing a request for ex parte reexamination	
112	212	920* 920* Requesting publication of SIR prior to Examiner action	
113	213	1,840* 1,840* Requesting publication of SIR after Examiner action	
115	215	110 55 Extension for reply within first month	
116	216	400 200 Extension for reply within second month	
117	217	920 460 Extension for reply within third month	
118	218	1,440 720 Extension for reply within fourth month	
128	228	1,960 980 Extension for reply within fifth month	
119	219	320 160 Notice of Appeal	
120	220	320 160 Filing a brief in support of an appeal	
121	221	280 140 Request for oral hearing	
138	238	1,510 1,510 Petition to institute a public use proceeding	
140	240	110 55 Petition to revive - unavoidable	
141	241	1,280 640 Petition to revive - unintentional	
142	242	1,280 640 Utility issue fee (or reissue)	
143	243	460 230 Design issue fee	
144	244	620 310 Plant issue fee	
122	222	130 130 Petitions to the Commissioner	
123	223	50 50 Processing fee under 37 CFR 1.17(q)	
126	226	180 180 Submission of Information Disclosure Stmt	
581	2581	40 40 Recording each patent assignment per property (times number of properties)	
146	246	740 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740 370 Request for Continued Examination (RCE)	
169	269	900 900 Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Katy C. Fain Registration No. (Attorney/Agent) 42,520 Telephone (505) 998-1505
Signature Katy C. Fain Date November 15, 2001

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Practitioner's Docket No. 30011-UT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William K. Summers

Application No.: 0 / UNKNOWN Group No.: UNKNOWN

Filed: November 15, 2001 Examiner: UNKNOWN

For: NEUROCEUTICAL FOR IMPROVING MEMORY AND COGNITIVE ABILITIES

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV003479855US

Date of Deposit November 15, 2001

I hereby state that the following *attached* paper or fee

Utility Patent Application Transmittal

Fee Transmittal (in duplicate) with \$487 fee check

Specification (20 pp)

NO DRAWINGS

Combined Declaration and Power of Attorney (7 pp)

Application Data Sheet

Associate Power of Attorney

Request and Certification Under 35 USC 122(b)(2)(B)(ii)

Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Diane S. Nelson, Paralegal

Typed or printed name of person mailing paper or fee

Diane S. Nelson

Signature of person mailing paper or fee

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(Express Mail Certificate [8-3])

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	William K. Summers
	Title	NEUROCEUTICAL FOR IMPROVING MEMORY AND COGNITIVE ABILITIES
	Atty Docket Number	30011-UT

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 15, 2001

Date

Katy C. Fain

Signature

Katy C. Fain, Reg. No. 42,520

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.